

EMPLOYER QUESTIONNAIRE

Complete and return to: Huntington Financial Group, LLC
312 Kinderkamack Road
Westwood, NJ 07675

The following items must be completed for preparation of a Pension Plan Proposal and/or the Plan Document:

1. Legal Name of Business: _____

2. Address: _____

3. Telephone Number: _____
email address: _____

4. Employer Identification Number: _____ - _____

5. Principal Business Activity: _____

6. Accounting Basis: Cash _____ Accrual _____

7. Fiscal Year End: _____

8. Date of Incorporation or Establishment of Business: _____

9. State of Incorporation: _____

S-Corp _____ or C-Corp _____

<u>Name of Owners</u>	<u>If Corporation % of Stock Owned</u>	<u>If Proprietorship or Partnership % of Business Owned</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

For Corporations Only:

Directors (If same as stockholders, indicate name)

President_____

Secretary_____

Vice President:_____

10. Is this a member of a controlled group of Entities:_____

11. If Controlled Group, Corporate tax returns are filed

Consolidated_____ Separately_____

12. If there are related companies please attach a list with name and address of companies, nature of business.

(a) Does the Employer have union employees____yes____no
If yes, how many_____

(b) Have pensions been the subject of collective bargaining ____yes ____no

(c) Does the Employer contribute to a union pension plan
____yes ____no

13. Information for our records:

Accountant Name:_____

Firm Name:_____

Address:_____

Telephone #:_____

Attorney Name:_____

Firm Name:_____

Address:_____

Telephone #: _____

14. Who should we contact if more information is needed:

Address: _____

Telephone#: _____

PLAN DESIGN QUESTIONNAIRE

Type of Plan: _____

Effective Date: _____

401(k) provisions:

(a) matching contribution _____yes _____no

(b) discretionary _____

Eligibility requirements: _____age _____years of service

Normal retirement age: _____ maximum 65

Early retirement age: _____ n/a

Vesting schedule: _____ 100% immediate

_____ 2/20

_____ other

Exclude years of service prior to date of plan?___yes ___no

Loans: _____yes _____no

Trustees: _____
